Nanoroughened Surfaces for Efficient Capture of Circulating Tumor Cells without Using Capture Antibodies

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ABSTRACT Circulating tumor cells (CTCs) detached from both primary and metastatic lesions represent a potential alternative to invasive biopsies as a source of tumor tissue for the detection, characterization and monitoring of cancers. Here we report a simple yet effective strategy for capturing CTCs without using capture antibodies. Our method uniquely utilized the differential adhesion preference of cancer cells to nanorough surfaces when compared to normal blood cells and thus did not depend on their physical size or surface protein expression, a significant advantage as compared to other existing CTC capture techniques.

KEYWORDS: cancer • circulating tumor cell • nanotopography • biomaterials • microfabrication

Over the past decade, there has been great interest in utilizing peripheral blood circulating tumor cells (CTCs) to predict response to therapy and overall survival of patients with overt or incipient metastatic cancers.1,2 CTCs are shed by both primary and metastatic lesions, and they are thought to contribute to hematogenous spread of cancer to distant sites.3,4 It has been demonstrated that the presence of elevated CTC levels is negatively correlated with prognosis in patients with metastases of the breast, prostate, lung, and colon.5,6 Despite the clinical and pathophysiological importance of CTCs, the current molecular and cellular understanding of CTCs is extremely poor, largely due to the fact that the current techniques to isolate and characterize these rare cells are limited by low yield and purity, complex techniques, and expensive proprietary equipments, compounded by the currently employed techniques yielding little phenotypic and molecular information about the CTCs themselves.7,8

So far, different approaches have been used to isolate CTCs, which can be divided into two groups: cell size-based isolation using membrane filters or microfluidic sieves9–11 and immunoaffinity purification using immunomagnetic beads1,12 or microfluidic chips2,13–18 conjugated with antibodies against surface markers of cancer cells. Even though these approaches have been used to demonstrate the presence of CTCs in patients with metastatic cancer, each of these approaches has intrinsic major limitations. Briefly, size-based separation of CTCs is hampered by the fact that CTCs are not universally larger than all leukocytes and leukocytes clot filter pores or are collected along with CTCs thereby contaminating the isolate. For immunoaffinity purification, a monoclonal antibody against the epithelial cell adhesion molecule (EpCAM) is most commonly used because of its nearly universal expression on cells of epithelial origin and its absence from blood cells. However, surface expression of EpCAM on CTCs might be more heterogeneous than initially anticipated (e.g., due to the epithelial-mesenchymal transition) and even absent altogether in some tumor types (such as melanoma).19,20

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Thus, positive isolation and enrichment of CTCs based on their EpCAM expression can potentially result in a substantial loss of informative CTCs. To avoid the biases of selecting cells based on their EpCAM expression alone, immunoaffinity-based negative depletion methods to remove CD45+ leukocytes and thereby enrich residual CTCs have also been employed. Although this strategy has the potential to purify CTCs regardless of cancer cell surface markers, the extremely low prevalence of CTCs can significantly limit the yields of purified CTC fractions achieved by negative selection.

Herein, by taking advantage of the differential adhesion preference of cancer cells to nanorough surfaces when compared to normal blood cells, we report a simple, yet effective strategy for capturing CTCs regardless of their physical size and without using any capture antibody. To this end, we have recently developed a simple yet precisely controlled method to generate random nanoroughness on glass surfaces using reactive ion etching (RIE) (see Methods section; Figure 1A,B). RIE-based nanoscale roughening of glass surfaces is consistent with a process of ion-enhanced chemical reaction and physical sputtering. In our previous work, we had shown that bare glass surfaces treated with RIE for different periods of time could acquire different levels of roughness (as characterized by the root-mean-square roughness \( R_q = 1 - 150 \) nm) with a nanoscale resolution. Integrating RIE with photolithography, spatially patterned nanorough islands could be generated on glass surfaces. Thus, by precisely controlling both techniques, photolithography and RIE, we could specify the location, shape, area, and nanoroughness levels of different nanorough regions on glass surfaces (Supporting Information, Figure S1A). In this work, we successfully demonstrated that our RIE-generated nanorough surfaces could efficiently capture different kinds of cancer cells (i.e., MCF-7, MDA-MB-231, Hela, PC3, SUM-149) without using any capture antibody. Our cancer cell capture strategy with the nanorough glass surface uniquely utilized the differential adhesion preference of cancer cells to nanorough surfaces when compared to normal blood cells, and thus it did not depend on the cancer cells' physical size or surface protein
expression, a significant advantage as compared to other existing CTC capture techniques.

RESULTS AND DISCUSSION

Differential Adhesion Preference of Cancer Cells to Nanorough Surfaces. Using RIE-generated nanorough glass surfaces, we first examined the differential adherence preference of cancer cells to nanorough glass surfaces. Two breast cancer cell lines, MCF-7 (EpCAM-positive, or EpCAM+) and MDA-MB-231 (EpCAM-negative, or EpCAM-) were seeded as single cells on a glass surface patterned with nanorough islands or letters \( R_q = 70 \text{ nm} \). Phase-contrast images of cancer cells taken 24 h after cell seeding showed both cell types adhering selectively to patterned nanorough regions (Supporting Information, Figure S1A). Quantitative analysis revealed that adhesion selectivity, defined as the ratio of the number of cells adhered to nanorough regions and the total number of cells attached to the whole glass surface, was 96.1% and 95.2% for MCF-7 and MDA-MB-231, respectively (Supporting Information, Figure S1B), suggesting strong segregation of cancer cells for adherence to nanorough surfaces, regardless of their EpCAM expression status. We further performed the EdU proliferation assay for cancer cells, and our data suggested that proliferation rate of cancer cells increased with nanoroughness (Supporting Information, Figure S1C).

Efficient Capture of Cancer Cells without Using Capture Antibodies. To examine specifically whether the RIE-generated nanorough glass surfaces could achieve efficient capture of cancer cells without using any capture protein bait, we prepared two sets of unpatterned nanorough glass surfaces: one coated with anti-EpCAM protein bait, we prepared two sets of unpatterned nanorough glass surfaces could achieve efficient capture of cancer cells without using any capture antibody coating. To evaluate the efficiency of our RIE-generated nanorough substrates for capturing rare CTCs from blood specimens without using capture antibody, we conducted capture assays for known quantities of MCF-7 and MDA-MB-231 cells (\( n = 10 \sim 1000 \)) spiked in 500 \( \mu \text{L} \) of culture media containing peripheral blood mononuclear cells (PBMCs; cancer cells mixed with PBMCs at a constant ratio of 1:1) (Supporting Information, Figure S3) or 500 \( \mu \text{L} \) of lysed human blood (Figure 2). Target cancer cells and background cells (PBMCs or leukocytes in lysed blood) were first labeled with CellTracker Green and Delta-Dil, respectively, before they were mixed and used for 1-h cell capture assays with nanorough glass surfaces \( R_q = 150 \text{ nm} \). As shown in Figure 2, high capture yields were achieved with nanorough glass surfaces for both EpCAM+ MCF-7 and EpCAM- MDA-MB-231 cells, even at low cancer cell concentrations and for both PBMC and lysed blood samples (Figure 2B–E). On average, capture yields were 88.7% \( \pm 3.0\% \) and 93.3% \( \pm 1.5\% \) for MCF-7 cells mixed with PBMCs and spiked in lysed blood, respectively (Figure 2B,C), while for MD-MB-231 cells, capture yields were 94.9% \( \pm 2.4\% \) and 95.4% \( \pm 2.2\% \) for the PBMC and lysed blood samples, respectively (Figure 2D,E). In contrast, control experiments using smooth glass substrates \( R_q = 1 \text{ nm} \) with cancer cells spiked in culture media showed much lower capture yields for both MCF-7 and MDA-MB-231 cells (22.0% \( \pm 1.0\% \) for MCF-7 and 13.9% \( \pm 0.4\% \) for MDA-MB-231) (Figure 2B–E), again confirming the efficiency of nanorough substrates for capturing cancer cells.

We further studied systematically the effect of admixtures of cancer cells with other background cells on the efficiency and purity of cancer cell capture on uncoated nanorough glass surfaces \( R_q = 150 \text{ nm} \) by varying the ratio of MDA-MB-231 and PBMCs from 1:1 to 1:200, with the MDA-MB-231 cell number fixed at 1000 (Figure 3A). Our 1-h cell capture assay results in Figure 3 showed that capture yields of MDA-MB-231 were preserved with admixture and were as high as 93.6%. Thus, the capture yield was not affected strongly by the proportion of background PBMCs (Figure 3B,C). Interestingly, capture yields of PBMCs by uncoated nanorough glass surfaces remained low and were gradually
decreased from 16.7% to 4.1% when the ratio of MDA-MB-231 and PBMCs decreased from 1:1 to 1:200 (Figure 3C). Not surprisingly, as the absolute numbers of PBMCs captured on nanorough glass surfaces increased when the ratio of MDA-MB-231 and PBMCs decreased from 1:1 to 1:200, capture purity of MDA-MB-231 cells was significantly decreased from 84% to 14% (Figure 3D).

Cell Adhesion Strength Measurements. Our results in Figure 2 and Figure 3 showed a significantly different levels of preference between cancer cells and PBMCs to adhere to nanorough glass surfaces, which suggested an interesting possibility that adhesion strength of cancer cells might be affected by nanotopographic sensing, while adhesion of PBMCs might not be sensitive to nanotopographic cues. To examine this possibility, we developed a microfluidic channel using polydimethylsiloxane (PDMS) integrated with smooth \( R_q = 1 \) nm) or nanorough \( R_q = 150 \) nm) glass substrates for direct measurements of adhesion strength of cancer cells and PBMCs (see Methods; Figure 4A and Supporting Information, Figure S4).

Figure 2. Capture and enumeration of cancer cells. (A) Representative fluorescence and merged microscopic images showing known quantities of MDA-MB-231 cells as indicated spiked in lysed blood captured on nanorough glass surfaces \( R_q = 150 \) nm) 1 h after cell seeding. Target MDA-MB-231 cells were labeled with CellTracker Green before spiked in lysed blood that was pre-stained with Dil. (B–E) Regression analysis of 1-h capture efficiency for MCF-7 (B,C) and MDA-MB-231 (D,E) cells on smooth \( R_q = 1 \) nm) and nanorough \( R_q = 150 \) nm) glass surfaces. In panels A–E, known quantities of cancer cells \( n = 10 \) 600) were spiked in 500 μL of growth media containing PBMCs or 500 μL of lysed blood as indicated. For PBMC samples, cancer cells were mixed with PBMCs at a constant ratio of 1:1. Insets in B and D show correlations between captured cell number and loaded cell number for \( n = 10 \) 600, indicating efficient capture of low abundant CTCs. Solid lines represent linear fitting. Error bars represent ± s.e.m. \( n = 4 \).
on the smooth surface, regardless of EpCAM expression on cancer cell surfaces (Figure 4B–C0D and Supporting Information, Figure S4). Adhesion strength of cancer cells, defined as the fluidic shear stress at which 50% of cancer cells initially attached on glass surfaces would detach after exposed to fluid shear for 5 min,29 was significantly greater for cancer cells adhering on the nanorough surface than the smooth one (Figure 4F). As expected, adhesion strength of PBMCs to both smooth and nanorough glass surfaces was low, and they could easily be washed away even under a shear stress less than 1 dyn cm⁻², consistent with our observation that most PBMCs were still floating on both smooth and nanorough glass surfaces even after 12 h of incubation (data not shown). Measurements of adhesion strength of cancer cells and PBMCs after 1 h of incubation had been difficult, as most cells were still floating and not attached to glass surfaces, likely attributable to the confined microfluidic environment. Together, our results in Figure 5 demonstrated that nanotopographic surfaces could significantly enhance adhesion strength of cancer cells, regardless of their EpCAM expression. Adhesion of PBMCs to uncoated glass surfaces appeared to be not sensitive to nanotopographic cues.

Nanotopological Sensing through Focal Adhesions. To investigate the possible mechanotransductive mechanism for nanotopographic sensing of cancer cells, we examined focal adhesions (FAs) of EpCAM⁺ MCF-7 and EpCAM⁻ MDA-MB-231 cells plated as single cells on both smooth and nanorough glass surfaces. Published data indicate that integrin-mediated FA signaling, critical for many cellular functions and strongly dependent on their nanoscale molecular arrangement and dynamic organization, may play an important role in regulating cellular mechanosensitivity to nanotopography.30 After 24 h in culture, both MDA-MB-231 and MCF-7 cells exhibited distinct FA formation and organization on the smooth and nanorough glass surfaces, as characterized by immunofluorescence staining of
vinculin, a FA protein (Figure 5 and Supporting Information, Figure S5). On smooth glass surfaces where $R_q = 1 \text{ nm}$, mature and prominent vinculin-containing FAs formed primarily on the periphery of both cancer cells. By comparison, on nanorough surfaces with $R_q = 150 \text{ nm}$, both MDA-MB-231 and MCF-7 cells exhibited randomly distributed, punctate FAs of small cross sections throughout the entire cell spread area. Morphometric analysis of cell populations showed that on nanorough glass surfaces, both MDA-MB-231 and MCF-7 cells had smaller mean cell spread area and single FA area and less total FA area per cell, but higher FA density (number of FAs per cell area) than on the smooth surface (Figure 5B–C0E and Supporting Information, Figure S5B–C0E). The molecular arrangement and dynamic organization of integrin-mediated FAs of both cancer cells appeared sensitive and responsive to local presentation of nanotopographical cue and hence might result in their distinct adhesion behaviors on nanorough surfaces as demonstrated in this work.

**CONCLUSION**

In summary, here we report for the first time a simple yet effective strategy for highly sensitive and specific capture of different types of cancer cells using RIE-generated nanorough glass surfaces. Our cancer cell capture strategy uniquely utilized the differential adhesion preference of cancer cells to nanorough surfaces when compared to normal blood cells and thus did not depend on their physical size or surface protein expression, a significant advantage as compared to other existing CTC capture techniques. Previous studies have reported different micro- and nanostructured surfaces for capture of CTCs, however, to achieve efficient capture of CTCs, these surfaces still require functionalization with capture proteins to recognize cancer cells. Given the vast phenotypic differences between MCF7, MDA-231, and PC3, we surmise that our cancer cell capture strategy is very likely to be applicable to many different cancer cell types and can potentially provide a promising solution to study intratumor phenotypic heterogeneity at the single-cell level using patient CTCs for diagnostics and therapy.31,32 In spite of the strong adhesion preference of cancer cells for our RIE-generated nanorough surfaces, the capture purity achieved so far with nanorough glass surfaces is still suboptimal. Our future efforts will be directed toward integrating nanorough glass surfaces with microfluidic components such as chaotic mixers to improve both capture yield and purity for CTCs. Exploiting the
differential adhesion preference of cancer cells for nanorough surfaces when compared to normal blood cells is a promising strategy to achieve their efficient capture at very low costs and is expected to lead to better isolation and enrichment strategies for live CTCs from cancer patient's blood specimens, critical for informative analysis of CTCs and for accurate diagnosis, therapeutic choices, and prognosis and for fundamental understanding of cancer metastasis.

**METHODS**

**Fabrication of Nanorough Glass Samples.** For patterned nanorough glass samples, photoresist was first spin-coated on glass wafers (Borofloat 33, Plan Optik, Etoff, Germany) and patterned using photolithography. The glass wafer was then processed with RIE (LAM 9400, Lam Research, Fremont, CA) for different periods of time to generate nanoscale surface roughness (ranging from 1 to 150 nm) on the open regions of the glass wafer, where the photoresist had previously been developed and dissolved. The RIE process condition was selected as SF6 (8 sccm), C4F8 (50 sccm), He (50 sccm), Ar (50 sccm), chamber pressure (1.33 Pa), bias voltage (100 V), and radio frequency power (500 W), with the resulting glass etch rate at about 50 nm/min. After the RIE process, photoresist was stripped using solvents, and the glass wafer was cleaned using distilled water. For unpatterned nanorough glass samples, bare glass wafers were directly processed with RIE under the same RIE condition as described above. The glass wafers were then cut into small pieces (1.5 cm × 1.5 cm) using the ADT7100 dicing saw (Advanced Dicing Technologies Ltd., Yokneam, Israel). Before assays with cells, every glass sample was bonded to a 1-cm thick PDMS structure that had a circular opening (diameter 6 mm) at its center using vacuum grease (Dow Corning Corp., Midland, MI).

**Surface Characterization Using Atomic Force Microscope.** Nanoroughness of the glass surfaces was measured at room temperature with the Veeco NanoMan Atomic Force Microscope (AFM, Digital Instruments Inc., Santa Barbara, CA) using a noncontact, tapping mode and standard Si tapping mode AFM tips with a scan rate of 1 Hz. The resulting map of the local surface height was represented using AFM topographs. The nanoroughness of each glass sample was characterized by the root-mean-square (RMS) roughness \( R_q \) of the local surface height over the scanned areas collected using the AFM topographs.

**Cell Culture and Reagents.** Hela and MCF-7 cells (ATCC, Manassas, VA) were maintained in growth media consisting of high-glucose Dulbecco's modified Eagle's medium (DMEM; Invitrogen, Carlsbad, CA) supplemented with 10% fetal bovine serum (Atlanta Biological, Atlanta, GA), 0.5 \( \mu \)g mL\(^{-1}\) Fungizone (Invitrogen), 5 \( \mu \)g mL\(^{-1}\) Gentamicin (Invitrogen), 100 units mL\(^{-1}\) penicillin, and 100 \( \mu \)g mL\(^{-1}\) streptomycin. PC-3 and MDA-MB-231 cells were cultured in growth media (RPMI-1640, ATCC) supplemented with 10% fetal bovine serum (Atlanta Biological), 0.5 \( \mu \)g mL\(^{-1}\) Fungizone (Invitrogen), 5 \( \mu \)g mL\(^{-1}\) Gentamicin (Invitrogen), 100 units mL\(^{-1}\) penicillin, and 100 \( \mu \)g mL\(^{-1}\) streptomycin. SUM149 cells were cultured in growth media (Ham's F-12 w/L-glutamine, Fisher Scientific, Hanover Park, IL) supplemented with 5% fetal bovine serum (Atlanta Biological), 0.5 \( \mu \)g mL\(^{-1}\) Fungizone (Invitrogen), 5 \( \mu \)g mL\(^{-1}\) Gentamicin (Invitrogen), 5 \( \mu \)g mL\(^{-1}\) Insulin (Sigma-Aldrich, St. Louis, MO), 1 \( \mu \)g mL\(^{-1}\) Hydrocortisone (Sigma-Aldrich), 50 units mL\(^{-1}\) penicillin, and 50 \( \mu \)g mL\(^{-1}\) streptomycin. Cells were maintained at 37 °C with 100% humidity and 5%
were incubated in an ice-cold cytoskeleton buffer (50 mM NaCl, stubs, sputtered with gold palladium, and observed and photographed using PVT-3D, Tousimis, Rockville, MD). Samples were mounted on a glass substrate-immobilized with 0.5% Triton X-100 in PBS, blocked with 10% goat serum, and stained with Alexa Fluor 488 conjugated anti-vinculin antibodies targeting alkyne groups in EdU that was incorporated in newly synthesized DNA. Cells were co-stained with Hoechst 33342 (Invitrogen) to visualize cell nucleus.

**Quantification of Capture Yields of Cancer Cells.** Prior to cell capture assays, targeted cancer cells were labeled with CellTracker Green (Invitrogen) before they were mixed with α9β9-Oligo (Invitrogen)-stained background cells (PBMCs or leukocytes in lyzed blood). The total cell number in each sample was first determined using a hemocytometer, and the desired cell concentration was then prepared by serially diluting the original cell suspension with fresh culture media. The cell suspensions were then loaded onto PDMS micro-wells with a diameter of 6 mm) assembled onto the nanorough glass surfaces. After incubation at 5% CO2 and 37°C for different durations (0.5–8 h), the glass samples were rinsed gently with PBS to remove floating cells. The adherent substrate-immobilized cells were then imaged using fluorescence microscopy (Nikon Eclipse Ti-S, Nikon, Melville, NY) equipped with an electron multiplying charge-coupled device (EMCCD) camera (Photometrics, Tucson, AZ). Specially, sequential bright-field and fluorescent images were taken using a 10× (Ph1, ADL, numerical aperture or N.A. = 0.25, Nikon) objective. To quantify cancer cell capture yield and purity, the whole surface area of glass samples was scanned on a powered stage (ProScan III, Prior Scientific, Rockland, MA). The images obtained from scanning were stitched using microscopic analysis software (NI-Element BR, Nikon). Image processing software ImageJ (National Institutes of Health, Bethesda, MD) was then used to determine the number of cells attached to glass surfaces.

**Fabrication of PDMS Microfluidic Channels for Cell Adhesion Strength Measurements.** The PDMS microfluidic channel was fabricated using soft lithography and replica molding. Briefly, a silicon master for microfluidic channels was fabricated using photo-lithography and deep reactive ion etching (DRIE, STS Deep Silicon Etcher, Surface Technology Systems, Newport, UK). The silicon master was then siliconized with (tridecafluoro-1,1,2,2-tetrahydrooctyl)-1-trichlorosilane vapor (United Chemical Technologies, Bristol, PA) for 4 h under vacuum to facilitate subsequent release of the PDMS microfluidic channel from the silicon master. PDMS prepolymer (Sylgard 184, Dow-Corning, Midland, MI) was then produced by thoroughly mixing the monomer with the curing agent (with the w/v ratio of 10:1), poured onto the silicon master and cured at 110 °C for 30 min. Fully cured PDMS channel was peeled off from the silicon mold, and the excessive PDMS was trimmed using a razor blade. The PDMS microfluidic channel used in this study had a channel width of 2 mm, a channel total length of 6 mm, and a channel height of 80 μm. For the smooth (Rq = 1 nm) glass substrate, the PDMS microfluidic channel was bound to the glass substrate using the oxygen plasma-assisted bonding process. For nanorough glass substrates, a device holder composed of two pieces of polycrystalline plates was home-machined to sandwich the PDMS channel and the nanorough glass substrate using screws at the four corners of the polycrystalline plates. Two through-holes were drilled on the top polycrystalline plate to align with the inlet and outlet holes of the PDMS microfluidic channel, thus allowing a convenient connection of tubings to the microfluidic channel. The complete assembly using polycrystalline plates to hold the PDMS microfluidic channel could endure a back pressure of about 50 psi without leaking.

**Cell Adhesion Strength Measurements.** To measure cell adhesion strength, cancer cells (MCF-7, MBA-MB-231 or PC3 cells) in growth media were first injected into the microfluidic channel by pipet and the cells were allowed to adhere to the bottom glass substrate in an incubator for 12 h. An optimized cell loading...
density (1 × 10^6 cells mL^-1) was used to ensure a uniform seeding of single cells on the substrate. The microfluidic channel was then connected to a syringe pump and a constant flow of PBS was injected into the channel to exert directional fluid shear stress on cells. To remove floating cells before cell adhesion strength measurements, PBS was flowed into the channel with a very low flow rate (10 μL min^-1 for 1 min, then 30 μL min^-1 for 1 min). Then the flow rate was increased to a designated value (50–1500 μL min^-1) and maintained constant for 5 min to exert a constant directional fluid shear stress on cells. During the assay, detachment of cells was monitored with the Carl Zeiss Axio Observer Z1 microscope using a 10× objective (0.3 NA; EC Plan NEOFLUAR; Carl Zeiss MicroImaging). Phase-contract images were recorded at 10 s intervals for a total period of 5 min. The numbers of adherent cells on glass substrates before and after their treatments with this sustained 5-min directional fluid shear were quantified from the recorded microscope images using ImageJ (National Institutes of Health, Bethesda, MD). The fluidic shear stress (τ_f) exerted on cells was calculated using the equation τ_f = (6μQ)/[W^2P], where μ is the viscosity of culture media (~10^-3 Pa s), Q is the flow rate, and W and H are the microfluidic channel width and height, respectively. Adhesion strength of cells was defined as the fluidic shear stress at which 50% of cells initially attached on glass surfaces would detach after exposed to fluid shear for 5 min. Adhesion strength of PBMCs was examined as a control using the same method but without the low flow rate prewashing step, as most PBMCs were still floating 12 h after cell seeding and were easily washed away with a extreme low shear stress.

Conflict of Interest: The authors declare no competing financial interest.

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Supporting Information Available: Additional information and graphics as described in the text. This material is available free of charge via the internet at http://pubs.acs.org.

REFERENCES AND NOTES


